

9-29-00
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2

REQUEST FOR FILING APPLICATION
Under Rule 53(a), (b) & (f)
(No Filing Fee or Oath/Declaration)
(Do NOT use for Provisional or PCT Applications)
Use for Design or Utility Applications

**PATENT
APPLICATION**

RULE 53(f) NO DECLARATION

Assistant Commissioner of Patents
and Trademarks
Washington, DC 20231

Atty. Dkt.

PM 12607

M#

265981

Client Ref

Date:

September 28, 2000

Sir:

1. This is a Request for filing a new Patent Application ☐ Design ☒ Utility) entitled:

2. (Complete) Title: METHOD OF AND SYSTEM FOR PROCESSING TRANSACTIONS

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 22 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 27 Numbered claim(s); and

7. ☒ Drawings: 3 sheet(s) ☒ 1 set informal; 8. ☐ formal of size: ☐ A4 ☐ 11"

9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/156,814	09/29/99	(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

11. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / filed on _____

12. ☐ This is a reissue of Patent No. _____

13. ☒ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)

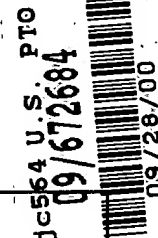
14. ☐ **Amend the specification** by inserting before the first line -- This is a ☐ Continuation-in-Part
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:

14(a) ☐ National Appln. No. _____ / filed _____ . - - (M#)

14(b) ☐ International Appln. No. PCT/ _____ filed _____ which designated the U.S. - -

15. ☐ **Amend the specification** by inserting before the first line: --This application claims the benefit of U.S. Provisional Application No. 60/_____, filed _____, --

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed



17. ☐ Prior application is assigned to

by Assignment recorded _____ Reel _____ Frame _____

18. ☐ Attached:

19. This application is made by the following named inventor(s)

(Double check instructions for accuracy.):

(1) Inventor	Neal		GOLDSTEIN
	First	Middle Initial	Family Name
Residence	Palo Alto	California	
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(2) Inventor	Adam		RICHARDS
	First	Middle Initial	Family Name
Residence	San Francisco	California	
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(3) Inventor	David		SHERR
	First	Middle Initial	Family Name
Residence	Haddonfield	New Jersey	
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Post Office Address			
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(4) Inventor	David		LEVY
	First	Middle Initial	Family Name
Residence	San Francisco	California	
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(5) Inventor	Chalon		MULLINS
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

20. NOTE: FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet with same information regarding additional inventors.

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

Atty. Dkt. No. PM 12607-265981
(M#)

Invention: METHOD OF AND SYSTEM FOR PROCESSING TRANSACTIONS

Inventor (s): Neal GOLDSTEIN; Adam RICHARDS; David SHERR, David LEVY, Chalon MULLINS

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CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. §1.10

I hereby certify that his correspondence (along with any paper referred to as being attached or enclosed) is being mailed via "Express Mail Post Office to Addressee" service of the United States Postal Services (Express Mail Label No. ELC0411004US) on the date shown below in an envelope addressed to the Commissioner of Patents & Trademarks, U.S. Patent and Trademark Office, Washington, D.C., 20231.

Dated: 9/28/00

By: KA Cabello

This is a:

- ☐ Provisional Application
- ☒ Regular Utility Application
- ☐ Continuing Application
- ☒ The contents of the parent are incorporated by reference
- ☐ PCT National Phase Application
- ☐ Design Application
- ☐ Reissue Application
- ☐ Plant Application
- ☐ Substitute Specification
Sub. Spec Filed _____
in App. No. _____ / _____
- ☐ Marked up Specification re
Sub. Spec. filed _____
In App. No _____ / _____

SPECIFICATION